

ANNEX 4 MAIN DOCUMENTS PUBLISHED BY THE INTERNATIONAL SUPERVISORY
FORA: FSB, BCBS, EBA, ESRB AND ECB IN THE FRAMEWORK
OF FINANCIAL STABILITY

February	Thematic Review on Deposit Insurance Systems - Peer Review Report
	Handbook for FSB Peer Reviews
April	Securities Lending and Repos: Market Overview and Financial Stability Issues - Interim report
	Extending the G-SIFI Framework to domestic systemically important banks - Progress Report to G20 Ministers and Governors
	FSB Principles for Sound Residential Mortgage Underwriting Practices
June	Implementing the FSB Principles for Sound Compensation Practices and their Implementation Standards - Progress Report
	Identifying the Effects of Regulatory Reforms on Emerging Market and Developing Economies: A Review of Potential Unintended Consequences - Report to the G20 Finance Ministers and Central Bank Governors
	Report to the G20 Los Cabos Summit on Strengthening FSB Capacity, Resources and Governance
	Financial Stability Board Charter
	Overview of Progress in the Implementation of the G20 Recommendations for Strengthening Financial Stability - Report to G20 Leaders
	Progress in implementing the G20 Recommendations on Financial Regulatory Reform - Status Report by the FSB Secretariat
	Financial Regulatory Reforms - Progress and Next Steps - Letter of the Chair to the G20 Leaders
	A Global Legal Entity Identifier for Financial Markets
October	Progress of Financial Regulatory Reforms - FSB Chair Letter sent to the G20 Ministers and Governors
	Report by the Enhanced Disclosure Task Force
November	Consultative Document: FSB guidance for recovery and resolution planning for SIFIs
	Update of group of global systemically important bank (G-SIBs)
	Implementing the Global Legal Entity Identifier (LEI) System - Report on Progress
	Global Shadow Banking Monitoring Report
	Consultative Document: Strengthening Oversight and Regulation of Shadow Banking - Policy Framework for Addressing Shadow Banking Risks in Securities Lending and Repos
	Consultative Document: Strengthening Oversight and Regulation of Shadow Banking - Policy Framework for Strengthening Oversight and Regulation of Shadow Banking Entities
	Consultative Document: Strengthening Oversight and Regulation of Shadow Banking - An Integrated Overview of Policy Recommendations
Roadmap for reducing reliance on CRA ratings	

Principles and recommendations	September	Joint Forum: Principles for the supervision of financial conglomerates
		Core principles for effective banking supervision
Consultative documents	May	Fundamental review of the trading book - consultative document
	June	A framework for dealing with domestic systemically important banks - consultative document
		Principles for effective risk data aggregation and risk reporting - consultative document
	July	Monitoring indicators for intraday liquidity management - consultative document
December	Revisions to the Basel Securitisation Framework - consultative document	
Reports	February	Report on intra-group support measures
	April	Peer review of supervisory authorities' implementation of stress testing principles
		Results of the Basel III monitoring exercise as of 30 June 2011
		Basel III regulatory consistency assessment programme
	June	The internal audit function in banks
		Composition of capital disclosure requirements - Rules text
		Report to G20 Leaders on Basel III implementation
	July	Capital requirements for bank exposures to central counterparties
	October	Report to G20 Finance Ministers and Central Bank Governors on Basel III implementation
		A framework for dealing with domestic systemically important banks - final document
		Progress report on Basel III implementation (update published in October 2012)
		Basel III regulatory consistency assessment (Level 2) - Preliminary report: European Union

Regulatory Technical Standards	December	Final draft Regulatory Technical Standards on Capital Requirements for Central Counterparties
Standards and Guidelines	January	Guidelines on Advanced Measurement Approach (AMA) - Extensions and Changes (EBA/GL/2012/1)
	May	Guidelines on Stressed Value At Risk (Stressed VaR) (EBA/GL/2012/2)
		Guidelines on the Incremental Default and Migration Risk Charge (IRC) (EBA/GL/2012/3)
	July	Guidelines on the Remuneration Benchmarking Exercise (EBA/GL/2012/4)
		Guidelines on the Data Collection Exercise Regarding High Earners (EBA/GL/2012/5)
November	Guidelines on the Assessment of the Suitability of Members of the Management Body and Key Function Holders (EBA/GL/2012/06)	
Reports	July	Report on Risks and Vulnerabilities of the European Banking System
Opinions	July	Opinion on the Commission's Green paper on Shadow Banking
	September	Opinion on Capital requirements for Central Counterparties under the EMIR
	October	Joint Committee of the ESAs response to the Call for Advice on the fundamental review of the Financial Conglomerates Directive
	December	Opinion in response to the European Commission's consultation regarding a possible framework for the recovery and resolution of financial institutions other than banks
Opinion on the recommendations of the High-level Expert Group on reforming the structure of the EU banking sector		
Joint Committee of the ESAs response to IASB's request for information on its comprehensive review of IFRS for SMEs		
Consultative Documents and Discussion Papers	February	Consultation Paper - Draft Implementing Technical Standards on reporting of large exposures
	March	Joint ESAs Discussion Paper - Draft Regulatory Technical Standards on risk mitigation techniques for OTC derivatives not cleared by a CCP
	April	Consultation Paper - Draft Regulatory Technical Standards on own funds
	May	Consultation Paper - Data point model related to Implementing Technical Standards on supervisory reporting
		Discussion Paper - A template for recovery plans
	June	Consultation Paper - Draft Regulatory Technical Standards on the concept of gain on sale associated with future margin income in a securitisation context
		Consultation Paper - Draft Implementing Technical Standards on supervisory reporting requirements for leverage ratio
		Consultation Paper - Draft Implementing Technical Standards on supervisory requirements for liquidity coverage and stable funding
		Consultation Paper - Draft Implementing Technical Standards on disclosure for own funds
	July	Consultation Paper - Draft Technical Standards on the calculation of credit risk adjustments
		Consultation Paper - Draft Regulatory Technical Standards in relation with credit valuation adjustment risk
	August	Joint Consultation Paper of the ESAs - Draft Regulatory Technical Standards on the application of the capital calculation methods for financial conglomerates
	November	Consultation Paper - Draft Regulatory Technical Standards on the conditions for recognition of cooperatives, mutuals, saving institutions and similar institutions
Discussion Paper - Draft Regulatory Technical Standards on prudent valuation		

January	Recommendation of the ESRB of 22 December 2011 on US dollar denominated funding of credit institutions (ESRB/2011/2), OJ 2012/C 72/01
	Recommendation of the ESRB of 22 December 2011 on the macro-prudential mandate of national authorities (ESRB/2011/3), OJ 2012/C 41/01
February	Macro-prudential commentaries, issue 1: The ESRB at work - its role, organisation and functioning
March	Principles for the development of a macro-prudential framework in the EU in the context of the capital requirements legislation
	ESRB response to the EBA Consultation Paper on "Draft Implementing Technical Standards on Large Exposures"
	Macro-prudential commentaries, issue 2: The macro-prudential mandate of national authorities
	ESRB response to the EBA Consultation Paper on "Draft Implementing Technical Standards on supervisory reporting requirements for institutions"
	ESRB response to EIOPA Consultation paper on the "Proposal for Quantitative Reporting Templates for Financial Stability Purposes"
May	Reply of the ESRB to the European Commission's public consultation on shadow banking
June	Occasional Paper No. 1: Money market funds in Europe and financial stability
July	Reports of the Advisory Scientific Committee, No. 1/July 2012: Forbearance, resolution and deposit insurance
	Macro-prudential commentaries, issue 3: Systemic risk due to retailisation?
August	ESRB response on eligible collateral for central counterparties (art. 46 of EMIR)
	ESRB response on the use of OTC derivatives by non-financial corporations (art 10 of EMIR)
September	ESRB Risk Dashboard, issue 1
October	Reports of the Advisory Scientific Committee, No. 2/October 2012: A contribution from the Chair and Vice-Chairs of the Advisory Scientific Committee to the discussion on the European Commission's banking union proposals
November	Macro-prudential aspects of the reform of Benchmark indices. ESRB response to a consultation by the European Commission on a possible framework for the regulation of the production and use of indices serving as benchmarks in financial and other contracts
December	Macro-prudential commentaries, issue 4: Lending in foreign currencies as a systemic risk
	ESRB response to the European Commission Consultation on a possible recovery and resolution framework for financial institutions other than banks
	ESRB Risk Dashboard, issue 2

April	Financial integration in Europe
	Changes in bank financing patterns
June	Financial Stability Review
July	Commission's green paper on shadow banking. The Eurosystem's reply
October	Report on the first two years of the Macro-Prudential Research Network
November	European Commission's public consultation on the regulation of indices - Eurosystem's response
December	Financial Stability Review