

ANNEX 3 MAIN DOCUMENTS PUBLISHED BY THE INTERNATIONAL SUPERVISORY
FORA: FSB, BCBS, EBA, ESRB AND ECB IN THE FRAMEWORK
OF FINANCIAL STABILITY

Policy documents	April	Guidance on Supervisory Interaction with Financial Institutions on Risk Culture: A Framework for Assessing Risk Culture Supervisory Intensity and Effectiveness: Progress Report on Enhanced Supervision
	October	Key Attributes of Effective Resolution Regimes for Financial Institutions: Additional guidance annexed to the 2011 document
	November	Transforming Shadow Banking into Resilient Market-Based Financing: Progress and Roadmap for 2015 FSB Review of the Structure of its Representation
Reports to the G20	February	Financial reforms: Progress and Challenges - FSB Chair's Letter to G20 Ministers and Governors
	April	Financial reforms: Update on Progress Ahead - FSB Chair's Letter to G20 Ministers and Governors
	September	Update on Financial Regulatory Factors Affecting the Supply of Long-Term Investment Finance Financial Reforms: Completing the Job and Looking Ahead - FSB Chair's Letter to G20 Ministers and Governors
	November	Report to the G20 on Progress in Reform of Resolution Regimes and Resolution Planning for G-SIFs Overview of Progress in the Implementation of the G20 Recommendations for Strengthening Financial Stability
		Financial Reforms: Completing the Job and Looking Ahead - FSB Chair's Letter to G20 Leaders for the Brisbane Summit
Reports	May	Thematic Review of the FSB Principles for Reducing Reliance on CRA Ratings: Peer Review Report
	September	2014 Progress Report on Implementation of the EDTF Principles and Recommendations The Financial Crisis and Information Gaps - Fifth Implementation Progress Report
		October
	November, April	Progress Reports on Implementation of OTC Derivatives Market Reforms
	November	Implementing the FSB Principles for Sound Compensation Practices and their Implementation Standards: 3rd Progress Report

Standards	January	Basel III leverage ratio framework and disclosure requirements
		Liquidity coverage ratio disclosure standards - final document
		The Liquidity Coverage Ratio and restricted-use committed liquidity facilities
	March	The standardised approach for measuring counterparty credit risk exposures
	April	Capital requirements for bank exposures to central counterparties - final standard
		Supervisory framework for measuring and controlling large exposures - final standard
	June	Review of the Pillar 3 disclosure requirements - consultative document
	October	Operational risk - Revisions to the simpler approaches - consultative document
		Basel III: the net stable funding ratio
	November	The G-SIB assessment methodology - score calculation
	December	Net Stable Funding Ratio disclosure standards - consultative document
		Revisions to the securitisation framework
		Fundamental review of the trading book: outstanding issues - consultative document
Capital floors: the design of a framework based on standardised approaches - consultative document		
Guidelines	January	Guidance for Supervisors on Market-Based Indicators of Liquidity
		Sound management of risks related to money laundering and financing of terrorism
	March	External audits of banks
	June	Supervisory guidelines for identifying and dealing with weak banks - consultative report
		Principles for effective supervisory colleges
October	Corporate governance principles for banks - consultative document	
Sound practices	January	A sound capital planning process: fundamental elements
	October	Review of the Principles for the Sound Management of Operational Risk
Implementation	October	Seventh progress report on adoption of the Basel regulatory framework
	November	Implementation of Basel standards
		Basel capital framework national discretions
December	Regulatory Consistency Assessment Programme (RCAP) - Assessment of Basel III regulations - European Union	
Reports	April	Point of Sale disclosure in the insurance, banking and securities sectors - final report
	September	Report on supervisory colleges for financial conglomerates
		Analysis of the trading book hypothetical portfolio exercise
		Basel III Monitoring Report as of 31 December 2013
	November	Reducing excessive variability in banks' regulatory capital ratios
		Impact and implementation challenges of the Basel framework for emerging market, developing and small economies
December	Criteria for identifying simple, transparent and comparable securitisations - consultative paper	

Guidelines	March	Guidelines on the applicable notional discount rate for variable remuneration
	June	Guidelines on disclosure of encumbered and unencumbered assets
		Guidelines on disclosure of indicators of global systemic importance
		Guidelines on harmonised definitions and templates for funding plans of credit institutions under recommendation A4 of ESRB/2012/2
	July	Guidelines on the data collection exercise regarding high earners
		Guidelines on the range of scenarios to be used in recovery plans
		Report on the peer review of the EBA Guidelines 31 regarding credit concentration risk
		Guidelines on significant risk transfer for securitisation
		Guidelines on the remuneration benchmarking exercise
	September	Guidelines on tests, reviews or exercises that may lead to support measures
	October	Joint guidelines for complaints-handling for the securities (ESMA) and banking (EBA) sectors
	December	Guidelines on criteria for the assessment of O-SIs
		Guidelines on measures to reduce or remove impediments to resolvability
		Final guidelines on the security of internet payments
		Guidelines on common procedures and methodologies for SREP
		Joint guidelines on coordination arrangements for financial conglomerates
Guidelines on materiality, proprietary and confidentiality and on disclosure frequency under articles 432(1), 432(2) and 433 of Regulation (EU) 575/2013		
Opinions and technical advices	January	European Supervisory Authorities' letter to Commissioner Barnier (European Commissioner, Directorate General Internal Market and Services) in relation to the Report by Philippe Maystadt "Should IFRS standards be more European?"
	April	Opinion on measures to address macroprudential or systemic risk
	June	Technical advice on the treatment of own credit risk related to derivative liabilities
	July	Opinion on the preferential capital treatment of covered bonds
		Opinion on virtual currencies
	October	Opinion regarding the principles on remuneration policies of credit institutions and investment firms and the use of allowances
		Opinion on the application of Articles 108 and 109 of Directive 2013/36/EU and of Part One, Title II and Article 113(6) and (7) of Regulation (EU) No 575/2013
	November	Opinion on matters relating to the perimeter of credit institutions
	December	Opinion on securitisation retention, due diligence and disclosure report
Technical advice on criteria and factors for intervention powers concerning structured deposits		
Discussion papers	February	Discussion paper on the impact on the volatility of own funds of the revised IAS 19 and the deduction of defined pension assets from own funds under article 519 of the capital requirements regulation (CRR)
	October	Discussion Paper on simple standard and transparent securitisations
	November	Key Information Documents for Packaged Retail and Insurance-based Investment Products (PRIIPs)
	December	Discussion Paper - The Use of Credit Ratings by Financial Intermediaries Article 5(a) of the CRA Regulation
		Discussion paper: draft requirements on passport notifications for credit intermediaries under the mortgage credit directive
Reports	February	Review of the Implementation of EBA-ESMA Recommendations to Euribor-EBF
		Consumer trends report 2014
		European Supervisory Authorities' Report - Final Report on mechanistic references to credit ratings in the ESAs' guidelines and recommendations
	March	Report on impact of differences in leverage ratio definitions
	May	EBA 2013 Annual Report
	June	Fourth report on the consistency of risk weighted assets
		Benchmarking of remuneration practices at Union level
		Review of the macroprudential rules in CRR / CRD
	July	Report on EU covered bond frameworks and capital treatment
		Placement of financial instruments with depositors, retail investors and policy holders ('Self placement')
		Report on the use and benefits from central banks' funding support measures
	September, March	Basel III monitoring exercise
	September, April	Joint Committee report on risks and vulnerabilities in the EU financial system
	September	List of Identified Financial Conglomerates as per 31 December 2013
	October	Report on monitoring of AT1 instruments of EU institutions
December, June	Risk assessment of the European banking system	

Recommendations and Decisions	January	Decision on a coordination framework regarding the notification of national macro-prudential policy measures by competent or designated authorities and the provision of opinions and the issuing of recommendations by the ESRB (ESRB/2014/2)
	June	Recommendation on guidance for setting countercyclical buffer rates (ESRB/2014/1) ESRB Recommendation on the macro-prudential mandate of national authorities (ESRB/2011/3): Follow-up Report - Overall assessment January, March, June, September
Reports	January, March, June, September	ESRB Risk Dashboard
	March	Flagship Report on Macro-prudential Policy in the Banking Sector Handbook on Operationalising Macro-prudential Policy in the Banking Sector
	June	Is Europe Overbanked? - Report of the Advisory Scientific Committee Operationalising the countercyclical capital buffer: indicator selection, threshold identification and calibration options
	July	Annual Report 2013 The ESRB and national macro-prudential measures - its role and first experiences
	November	Allocating macro-prudential powers - Report of the Advisory Scientific Committee

February	Assessment guide for the security of internet payments
April	The impaired EU securitisation market: causes, roadblocks and how to deal with them (Joint paper by the ECB and the Bank of England)
	Financial Integration in Europe
May	The case for a better functioning securitisation market in the European Union: A discussion paper (Joint paper by the ECB and the Bank of England)
October	Banking Structures Report
November, May	Financial Stability Review